

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5192546
<b>Application Number:</b>	10026359
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8067
<b>Title of Invention:</b>	Method and system for computer-implemented trading of secondary market debt securities
<b>First Named Inventor/Applicant Name:</b>	Brijesh Agarwal
<b>Customer Number:</b>	28089
<b>Filer:</b>	Irah Donner/Trennie Snyder
<b>Filer Authorized By:</b>	Irah Donner
<b>Attorney Docket Number:</b>	1497210.00123US1
<b>Receipt Date:</b>	21-APR-2009
<b>Filing Date:</b>	24-DEC-2001
<b>Time Stamp:</b>	17:12:31
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$490
RAM confirmation Number	3311
Deposit Account	080219
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)	

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1		1497210-123US1_Amendment042109.pdf	141324 fe103429e1f6d4b1341fb3ea16f013041052b2	yes	20
<b>Multipart Description/PDF files in .zip description</b>					
Document Description		Start		End	
Supplemental Response or Supplemental Amendment		1		1	
Claims		2		15	
Applicant Arguments/Remarks Made in an Amendment		16		20	

**Warnings:****Information:**

2	Extension of Time	1497210-123US1_Extension_Time042109.pdf	93059 6B5120fa3b69c660Ec4298d8711158ff9564e1	no	1
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**Warnings:****Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	30322 6B34F5914c93731847Beb82811ca14d6e05255b	no	2
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**Warnings:****Information:****Total Files Size (in bytes):** 264705

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.